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Approved for use through 09/30/2000. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	AUTH01US
	First Inventor or Application Identifier	Simon Robert Walmsley
	Title	Validation Protocol and System
	Express Mail Label No.	EJ776402459US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 120] - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 8]	ACCOMPANYING APPLICATION PARTS
4. Oath or Declaration [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) <input type="checkbox"/> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other:
* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)	

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/113,223
Prior application information: Examiner Nga B. Nguyen Group / Art Unit: 2764
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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(Insert Customer No. or Attach bar code label here)					
Name	Kia Silverbrook				
	Silverbrook Research Pty. Ltd.				
Address	393 Darling Street				
City	Balmain	State	NSW	Zip Code	2041
Country	Australia	Telephone	61-2-9818-6633	Fax	61-2-9818-6711

Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	
Signature	<i>[Signature]</i>	Date	March 1, 2000

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Docket No.: AUTH01US.

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Submitted herewith Utility Patent Application Transmittal and enclosures for an invention entitled "Validation Protocol and System", which is a "Divisional" of US Patent Application No. 09/113,223.

Express Mail Label: **EJ776402459US**

jc511 U.S. PTO
09/517539



This is "Divisional" of US Patent Application Serial No. 09/113,223 filed July 10, 1998.

PTO/SB/17 (12/99)
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		Filing Date	
		First Named Inventor	Simon Robert Walmsley
		Examiner Name	Nga B. Nguyen
		Group / Art Unit	2764
TOTAL AMOUNT OF PAYMENT	(\$) 425	Attorney Docket No.	AUTH01US

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <input type="text"/> Deposit Account Name <input type="text"/> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES																																																																																																																									
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Kia Silverbrook	Registration No. (Attorney/Agent)	Telephone 61-2-9818-6633
Signature		Date	March 1, 2000

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TOTAL AMOUNT OF PAYMENT	(\$425)	Attorney Docket No.	AUTH01US

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																										
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Initiating Branch BALMAIN

Date 28 Feb 2000

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110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify) <input type="text"/>
SUBTOTAL (2) (\$ 0	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 80
SUBMITTED BY	
Name (Print/Type) Kia Silverbrook	Registration No. (Attorney/Agent)
Signature	Telephone 61-2-9818-6633
	Date March 1, 2000

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)
AUTH01US

Applicant, Patentee, or Identifier: Silverbrook Research Pty Ltd

Application or Patent No.: _____

Filed or Issued: _____

Title: Validation Protocol and System

This is a "Divisional" of US Patent Application Serial No. 09/113,223 filed July 10, 1998.

I hereby state that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Silverbrook Research Pty. Ltd.

ADDRESS OF SMALL BUSINESS CONCERN 393 Darling Street, Balmain, NSW 2041, Australia

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Kia Silverbrook

TITLE OF PERSON IF OTHER THAN OWNER _____

ADDRESS OF PERSON SIGNING 393 Darling Street, Balmain, NSW 2041, Australia

SIGNATURE 

DATE March 1, 2000

In the United States Patent and Trademark Office

Serial Number:

Application. Filed:

Applicants: Simon Robert Walmsley and Paul Lapstun

Application. Title: VALIDATION PROTOCOL AND SYSTEM

(This is a "Divisional" of US Patent Application Serial No. 09/113,223)

Examiner/GAU: Nga B. Nguyen, 2764

Mailed: March 1, 2000

At: Balmain NSW Australia

Docket No. AUTH01US



Assistant Commissioner for Patents

Washington, District of Columbia 20231


Petition to Delete Inventor in a Divisional Application

Dear Sir:


Pursuant to 37 CFR 1.63(d)(2), we respectfully request the deletion of Kia Silverbrook who is not an inventor in the above-identified divisional patent application.

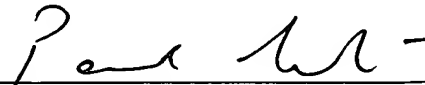
Respectfully submitted,

First Inventor
in Parent
Application:


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